



May 28, 2014

To: Finance and Administration Committee

From: Darrell Johnson, Chief Executive Officer

Janet Sutter, Executive Director
Internal Audit Department

Subject: California Governor's Office of Emergency Services, Grant Monitoring Review, Fiscal Year 2009-10 California Transit Security Grant Program, Award No. 62610002

Overview

The California Governor's Office of Emergency Services has conducted a review of the Orange County Transportation Authority's grant processes and documentation related to a Fiscal Year 2009-10 California Transit Security Grant Program Award No. 62610002. No findings resulted from the review.

Recommendation

Receive and file.

Background

The California Governor's Office of Emergency Services (CalOES) conducted an on-site grant monitoring review covering \$2,942,887 in California Transit Security Grant Program (CTSGP) expenditures. The review was conducted in October 2013, and the results were provided in a letter dated April 25, 2014 (Attachment A).

Discussion

CalOES performed a field review for the purpose of determining whether the Orange County Transportation Authority (OCTA) complied with applicable laws, regulations, guidelines, and policies related to CTSGP funding. The CalOES grants monitor reported no findings as a result of this review.

Summary

CalOES has conducted a grant monitoring review of CTSGP funds awarded to OCTA. There were no findings resulting from this review.

Attachment

- A. Letter to Darrell Johnson from Catherine Lewis, Branch Chief, Grants Monitoring Branch, CalOES, Dated April 25, 2014

Prepared by:



Janet Sutter
Executive Director, Internal Audit
714-560-5591



April 25, 2014

Darrell Johnson
Chief Executive Officer
Orange County Transportation Authority
550 South Main Street
Orange, CA 92863

SUBJECT: COMPLIANCE DESK REVIEW REPORT #13-02012
FY 09-10 PROP 1B TRANSIT ALL OTHERS GRANT PROGRAM
GRANT NUMBER: 62610002, FIPS #059-91032

Dear Mr. Johnson:

This report is issued in follow-up to the compliance desk review conducted by the Governor's Office of Emergency Services (Cal OES) for the above-referenced grant.

The purpose of the review was to determine if your organization complied with applicable laws, regulations, guidelines and policies. The grant was reviewed for compliance with the administrative and fiscal requirements as outlined in applicable state and federal regulations. Our scope included reviewing the *Cash Request Form* and all supporting documentation for the period noted below.

Executive Summary:

Grant Type: Prop 1B Transit All Others
Review Type: Compliance Desk Review
Scope: Fiscal and Administrative
Review Period: April 14, 2011 – September 30, 2012

Findings Summary:

1. No findings

Darrell Johnson
April 25, 2014
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Thank you for the courtesy and cooperation you extended Cal OES in completing this review. If you have any questions about this letter or the enclosures, please contact Pat Briggs, Grants Monitor, at (916) 845-8103, or pat.briggs@caloes.ca.gov.

Sincerely,



CATHERINE LEWIS
Branch Chief

cc: Kris Whitty, Homeland Security & Prop 1B Division Chief, Cal OES
Janet Sutter, Executive Director, Internal Audits, OCTA